

DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **INSTALLATION AND METHOD FOR THE PRODUCTION OF GLASS STOPPERS USED FOR SEALING BOTTLES** the specification of which is attached hereto or was filed on November 10, 2003 as International Application No. PCT/EP2003/012513, U.S. Application No. 10/534,659, and was amended on (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

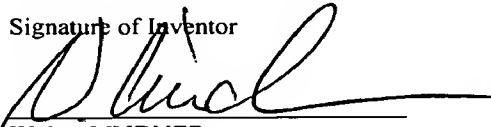
| Country | Application No. | Date of Filing | Priority Claimed Under 35 USC 119 |
|-----------|-------------------|-------------------|-----------------------------------|
| ✓ Germany | 10252578.1 | November 12, 2002 | Yes |
| ✓ Germany | 10320345.1 | May 7, 2003 | Yes |
| ✓ Germany | 10331821.6 | July 14, 2003 | Yes |
| PCT | PCT/EP2003/012513 | November 10, 2003 | Yes |

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

| Application No. | Filing Date |
|-----------------|-------------|
| | |

| | | | |
|--------------------------|--|---|---|
| Full Name of Inventor: | Last Name: <u>LINDNER</u> | First Name: <u>WALTER</u> | Middle Name or Initial: |
| Residence & Citizenship: | City: <u>Riedlhuette</u> | State/Foreign Country: <u>Germany DEX</u> | Country of Citizenship: <u>Germany</u> |
| Post Office Address: | Post Office Address: <u>Reichenberg 93</u> | City: <u>Riedlhuette</u> | State/Country: <u>Germany</u> Postal Code: <u>D-94566</u> |

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

| |
|---|
| Signature of Inventor  Walter LINDNER Date: <u>MAY 4th 2005</u> |
|---|

PTO/SB/81 (11-04)

| | | |
|---|------------------------|---|
| POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM | Application Number | 10/534,659 |
| | Filing Date | November 10, 2003 |
| | First Named Inventor | LINDNER, Walter |
| | Title | INSTALLATION AND METHOD FOR THE PRODUCTION OF GLASS... |
| | Art Unit | |
| | Examiner Name | |
| | Attorney Docket Number | 081542-000000US |

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint:

☒ Practitioners associated with the Customer Number:

20350

OR

☐ Practitioner(s) named below:

| Name | Registration Number |
|------|---------------------|
| | |
| | |
| | |
| | |

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

☐ Firm or Individual Name

Address

City

State

Zip

Country

Telephone

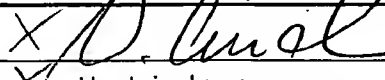
Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

| | | | |
|-------------------|---|-----------|------------|
| Signature | X  | Date | X 25.05.05 |
| Name | X W. Lindner | Telephone | 08552-4744 |
| Title and Company | X Managing Director Boropal Technology Trading GmbH | | |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of _____ forms are submitted.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Walter LINDNER

Application No./Patent No.: 10/534,659 Filed/Issue Date: November 10, 2003

Entitled: INSTALLATION AND METHOD FOR THE PRODUCTION OF GLASS STOPPERS USED FOR SEALING BOTTLES

Boropal Technology Trading GmbH, a German company
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


Signature

MAY 4th 2005
Date

WALTER LINDNER
Printed or Typed Name

0049-8552-96560
Telephone Number

MANAGING DIRECTOR
Title

ASSIGNMENT OF PATENT APPLICATION

SOLE

WHEREAS, Walter LINDNER of Reichenberg 93, Riedlhuetten, D-94566 Germany, hereinafter referred to as "Assignor," is the inventor of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: INSTALLATION AND METHOD FOR THE
PRODUCTION OF GLASS STOPPERS USED FOR
SEALING BOTTLES

Date(s) of Execution:

Int'l Filing Date: November 10, 2003

Int'l Appln. No.: PCT/EP2003/012513

U.S. Application No.: 10/534,659 ; and

WHEREAS, Boropal Technology Trading GmbH of W.-Sedlbauer-Strasse 12, Grafenau-Reismuehle, Germany, D-94481, hereinafter referred to as "ASSIGNEE," is desirous of acquiring ASSIGNOR'S interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same;

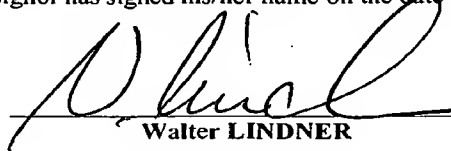
NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all their right, title and interest in and to said invention and application, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that Assignor will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

Assignor hereby authorizes and requests Townsend and Townsend and Crew LLP, Two Embarcadero Center, Eighth Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

Dated: MAY 4th 2005


Walter LINDNER